

**Notice of Allowability**

Application No.

10/762,094

Examiner

Martin Jeriko P. San Juan

Applicant(s)

BATTHISH ET AL.

Art Unit

2132

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to June 1, 2007.
2. ☒ The allowed claim(s) is/are 1-19.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

#### ***Specification***

1. The following informalities will be corrected:
  - a. Pg 25, Ln 9 -- As shown in Figure 44 4, the signerInfos ...

#### ***Claims***

1. The amended Claim 1 will be corrected because of the following informalities:
  - a. Pg 2 of "Amendment to the Claims" filed on June 1, 2007, Ln 20-21:  
transmitting the second encrypted email message to the one or more hidden recipients referenced by the ~~first~~ second email header.

Authorization for this examiner's amendment was given in a telephone interview with Robert Bain on September 10, 2007.

***Allowable Subject Matter***

1. Claims 1-19 are allowed.
2. The following is an examiner's statement of reasons for allowance:

Applicant's invention is based on encrypted email messaging with regard to special handling of hidden recipients, known as blind copy recipients or BCC. Protocols subject to the applicant's invention are SMTP, IMF, and S/MIME. These are standards and guidelines but none teach the actual implementation of the applicant's invention where the novelty lies in the method of handling hidden recipients in encrypted email messaging. Considering claim 1, which is the Applicant's broadest claim, the novelty lies in the limitation about creating a second encrypted email message, said second encrypted email message comprising a second email header referencing one or more hidden recipients, said second encrypted email message further comprising the second encrypted email body and, for each of the one or more hidden recipients, an encrypted version of the second encryption key. Thus, when a secure email message is created, another (separate/independent) email transaction (at the application protocol layer) of that same email message is created solely for the hidden recipients that would also be encrypted solely for the separate email transaction of hidden recipients. The applicant's invention provides for a secure way of handling hidden recipients, in terms of hidden recipients not being revealed from eavesdropper prying into recipientinfos field of an email transaction, with regard to secure email messaging. The closest prior art found would have been by Goldberg [US PN 7130887 B2] and(or) Olkin et al. [US PN

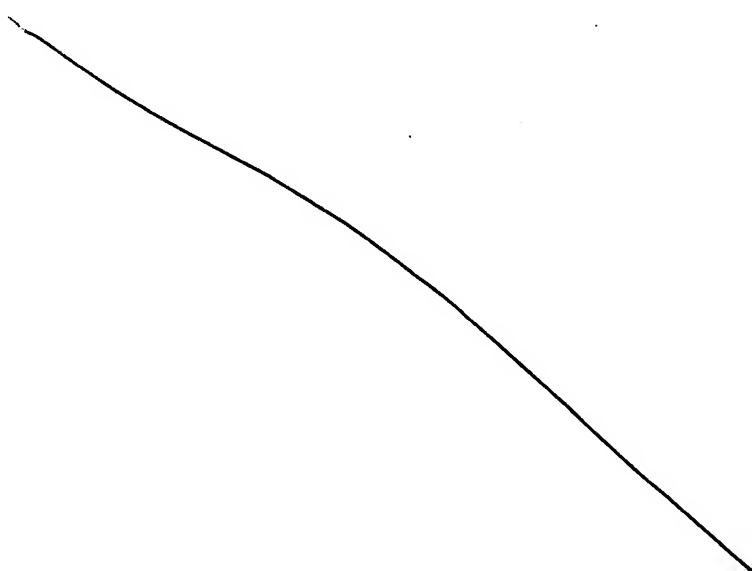
6584564 B2]. But none of these, independently or, in combination, teach the novelty behind the Applicant's invention. There are no other secondary references that can be combined to teach the Applicant's novelty as explained above.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Martin Jeriko P. San Juan whose telephone number is 571-272-7875. The examiner can normally be reached on M-F 8:30a - 6:00p EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

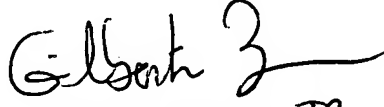


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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MJSJ/

Martin Jeriko San Juan  
Examiner. Art Unit 2132

  
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